



ALABAMA DEPARTMENT OF HUMAN RESOURCES REQUEST FOR PROPOSALS

PROCUREMENT INFORMATION	
RFP Number: 2016-100-03	RFP Title: <i>Intensive In-home Services</i>
Proposal Due Date and Time: <i>Thursday, July 07, 2016 12:00 p.m., Central Time</i>	Number of Pages: 37
Procurement Officer: Vicki Cooper-Robinson, Procurement Manager Phone: (334) 353-2471 E-mail Address: vicki.robinson@dhr.alabama.gov Website: http://www.dhr.alabama.gov	Issue Date: <i>Thursday, May 26, 2016</i>
	Issuing Division: Family Services

INSTRUCTIONS TO VENDORS	
Submit Proposal to: Starr Stewart, Director Office of Procurement Alabama Department of Human Resources Gordon Persons Building, Room 2153 50 Ripley Street Montgomery, AL 36130-4000	Label Envelope/Package: RFP Title/Number: Intensive In-home Services RFP#/2016-100-03 Proposal Due Date: <i>Thursday, July 07, 2016</i> Special Instructions:

VENDOR INFORMATION	
(Fill in the information fields below and return this form with RFP response)	
Vendor Name/Address: DUNS NUMBER: _____	Authorized Vendor Signatory: (Please print name and sign in ink)
Vendor Phone Number: ()	Vendor FAX Number: ()
Vendor Federal I.D. Number:	Vendor E-mail Address:
Indicate whether this proposal is an original or a copy. <input type="checkbox"/> Original <input type="checkbox"/> Copy	
Total number of proposal pages: _____	
Trade Secret Declarations: (<u>reference section/page(s) of trade secret declarations</u>)	

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VENDOR'S RFP CHECKLIST

1. _____ **Read the *entire* document.** Note critical items such as: mandatory requirements; supplies/services required; submittal dates; number of copies required for submittal; licensing requirements; contractual requirements (i.e., contract performance security, insurance requirements, performance and/or reporting requirements, etc.).
2. _____ **Note the procurement officer's name, address, phone numbers and e-mail address.** This is the only person you are allowed to communicate with regarding the RFP.
3. _____ **Attend the pre-proposal conference** if one is offered. These conferences provide an opportunity to ask clarifying questions, obtain a better understanding of the project, or to notify the Department of any ambiguities, inconsistencies, or errors in the RFP.
4. _____ **Take advantage of the “question and answer” period.** Submit your questions to the procurement officer by the due date listed in the Schedule of Events and view the answers given in the formal “addenda” issued for the RFP. All addenda issued for an RFP are posted on the Department’s website at www.dhr.alabama.gov and will include all questions asked and responses concerning the RFP.
5. _____ **Follow the format required in the RFP** when preparing your response. Provide point-by-point responses to all sections in a clear and concise manner.
6. _____ **Provide complete answers/descriptions.** Read and answer all questions and requirements. Don’t assume the Department or evaluation committee will know what your company’s capabilities are or what items/services you can provide, even if you have previously contracted with the Department. The proposals are evaluated based solely on the information and materials provided in your response.
7. _____ **Use the forms provided**, i.e., cover page, budget forms, certification forms, etc.
8. _____ **Check the Department’s website for RFP addenda.** It is the vendor’s responsibility to check the Department’s website at www.dhr.alabama.gov for any addenda issued for this RFP, no further notification will be provided.
9. _____ **Review and read the RFP document again** to make sure that you have addressed all requirements. Your original response and the requested copies must be identical and be complete. The copies are provided to the evaluation committee members and will be used to score your response.
10. _____ **Submit your response on time.** Note all the dates and times listed in the Schedule of Events and within the document, and submit all required items on time. Late proposal responses are *never* accepted.

This checklist is provided for assistance only and should not be submitted with Vendor’s response.

SCHEDULE OF EVENTS

The following RFP Schedule of Events represents the Department's best estimate of the schedule that will be followed. Unless otherwise specified, the time of day for the following events shall be between 9:00 a.m. and 12:00 p.m., Central Time. The Department reserves the right, at its sole discretion, to adjust this schedule, as it deems necessary. Notification of any adjustment to the Schedule of Events shall be posted on the Department's website at www.dhr.alabama.gov as detailed in Section 1.5.3 of this RFP. Vendors should refer to the website periodically for changes to the RFP.

EVENT	DATE
RFP Issue Date	May 26, 2016
Deadline for Receipt of Written Questions.....	June 09, 2016
Deadline for Posting of Written Responses to Questions	June 16, 2016
Proposal Due Date.....	July 07, 2016
Evaluation of Proposals and Selection of Vendors	July 12-15, 2016
Intended Date for Notice of Intent to Award a Contract	July 29, 2016

SECTION 1: PROJECT OVERVIEW AND INSTRUCTIONS

1.0 PROJECT OVERVIEW

The ALABAMA DEPARTMENT OF HUMAN RESOURCES, (hereinafter referred to as “the Department”) seeks qualified Vendors to develop/operate *Intensive In-Home Services (IIHS)*, to address the needs of children and their families in order to achieve safety and permanency in a timely manner. This procurement is seeking stand-alone vendors or collaborative vendors in various areas of the State who are able to provide intensive in-home services. The regions/counties identified and the number of monthly slots (families to be served) for IIHS slots are as follows:

- **Central Alabama** (Bullock, Butler, Crenshaw, Lowndes, Montgomery, Pike counties) **29 slots per month;**
- **East Alabama** (Chambers, Coosa, Elmore, Lee, Macon, Russell, Tallapoosa counties) **48 slots per month;**
- **East Central Alabama** (Calhoun, Cherokee, Clay, Cleburne, Randolph, St. Clair, Talladega counties) **49 slots per month;**
- **Jefferson/Shelby** (Jefferson and Shelby counties) **103 slots per month;**
- **Northeast Alabama** (Blount, Cullman, DeKalb, Etowah, Jackson, Marshall, Morgan counties) **66 slots per month;**
- **Northwest Alabama** (Colbert, Franklin, Lauderdale, Lawrence Fayette, Lamar, Marion, Walker, Winston, Madison and Limestone counties) **84 slots per month;**
- **Southeast Alabama** (Barbour, Coffee, Covington, Dale, Geneva, Henry, Houston counties) **46 slots per month;**
- **Southwest Alabama** (Baldwin, Choctaw, Clarke, Conecuh, Escambia, Mobile, Monroe, Washington Counties) **64 slots per month;**
- **Tuscaloosa Hub** (Bibb, Greene, Hale, Pickens, Sumter, Tuscaloosa counties) **18 slots per month; and**
- **West Central Alabama** (Autauga, Chilton, Dallas, Marengo, Perry, Wilcox counties) **19 slots per month.**

Selected vendors must serve all of the counties in the region. If any county fails to use its slots on a continual basis, slots may be re-assigned by State DHR.

Vendors may propose for more than one region but must provide assurances that they will have a physical presence in each region to serve children within the county or region. Vendors must indicate the number of slots proposed, per region. All proposals containing collaborative initiatives must verify that there is a formal, legally acceptable business arrangement in place among the collaborating agencies.

Proposals must detail the Vendor’s expertise or history in the provision of such services including their use of trauma informed care and practices. They must identify a nationally recognized evidence-based treatment model that has proven to be successful that will be used in service provision. Vendors will be required to provide intensive in-home interventions to help alleviate situations and conditions within families where removal of children from the home is imminent, and when reunification is the permanency outcome, working with the children and their placements to return the youth to their permanent home. IIHS Vendors must provide services to a child or children in an out-of-home setting, when required, and simultaneously provide intensive services to the family to expedite the child/children’s safe return home or to some other permanent living arrangement. A more complete description of the services sought for this project is provided in *Section 3, Scope of Project*. Proposals submitted in response to this solicitation must comply with the instructions and procedures contained herein.

1.1 ELIGIBLE ENTITIES

Eligible entities may include governmental agencies, faith-based organizations, non-governmental public or private organizations and individuals who: 1) are legally authorized to conduct business within the State of Alabama; 2) possess a high degree of professional skill in the area of service described in this document; 3) possess the skills needed to perform the services described in this RFP; and, 4) meet the terms and conditions of the RFP. In addition, applicants must demonstrate the ability to manage Department funds in accordance with Federal and State regulations and guidelines.

1.2 REQUIRED LICENSURE/CERTIFICATION/CREDENTIAL

Vendors must be licensed by Department of Human Resources (Residential Child Care Facility License) or Department of Mental Health (Mental Illness Division License), and must include a copy of their license with their proposals. Unlicensed vendors must include a copy of their application for licensure with their proposals. All applications for licensure must be submitted by *12:00 p.m., local time, Thursday, July 07, 2016* to:

Gloria Holloway, Manager
Division of Resource Management-Office of Licensing
Alabama Department of Human Resources
Gordon Persons Building
50 Ripley Street, Room 2153
Montgomery, AL. 36130-4000
Telephone number: 334-242-1650
Email: Gloria.derico@dhr.alabama.gov

1.3 CONTRACT TERM

The initial contract term is for a period of **two (2)** years beginning *October 01, 2016* and ending *September 30, 2018*. Renewals of the contract, as agreed upon by both parties, may be made at **one (1)** year intervals, or any interval that is advantageous to the Department, not to exceed a total of **three (3)** years, at the option of the Department. *Selected vendors must be licensed by Saturday, October 01, 2016 and must be fully operational on Saturday, October 01, 2016.*

1.4 POINT OF CONTACT

From the date this Request for Proposal (RFP) is issued until the vendor selection is announced, all communication must be directed to the procurement officer in charge of this solicitation. **Vendors must not communicate with any Department staff or officials regarding this procurement with the exception of the procurement officer.** Any unauthorized contact will disqualify the vendor from further consideration. Contact information for the point of contact is as follows:

Vicki Cooper-Robinson, Procurement Manager
Office of Procurement
Alabama Department of Human Resources
Gordon Persons Building, Room 2153
50 Ripley Street
Montgomery, AL 36130-4000
Telephone Number: (334) 353-2471
E-mail Address: vicki.robinson@dhr.alabama.gov

1.5 REQUIRED REVIEW

1.5.1 REVIEW RFP

Vendors should carefully review the instructions, mandatory and general requirements, project specifications, and the standard terms and conditions in this RFP. After a thorough review of the RFP, if the vendor identifies any ambiguity, inconsistency, unduly restrictive specifications, or error, promptly notify the procurement officer identified above in writing, via e-mail or courier by the deadline for receipt of questions as stated in the Schedule of Events.

1.5.2 VENDOR'S QUESTIONS

Vendors with questions or requiring clarification regarding any section of this RFP must reference the RFP by title and number and submit written questions via e-mail or courier to the procurement officer referenced above by 3:00 p.m. (CST) **Thursday, June 09, 2016**. Each question must reference the section, page, and item in question. Vendors must submit all questions posed in a single email message to the procurement officer. Questions received after the deadline will not be considered.

1.5.3 DEPARTMENT'S RESPONSES

The Department will provide an official written answer by **Thursday, June 16, 2016** to all questions received by the deadline on **June 09, 2016**. The Department's response will either provide clarification of the applicable issue or be in the form of a correction to this RFP. Vendor questions and the Department's responses, as well as any formal written addendum will be posted on the Department's website at www.dhr.alabama.gov by the close of business on the date listed.

1.6 MANDATORY REQUIREMENTS

Vendors are expected to respond to all of the requirements described in this document. The Department will determine whether a vendor's proposal meets the terms of the requirements. Proposals that do not meet all requirements listed in this RFP may be subject to point reductions during the evaluation process or may be deemed non-responsive. Proposals that do not meet the requirements 1.6.1 through 1.6.8 will be deemed non-responsive and no other consideration will be given. **DO NOT ALTER ANY OF THE FORMS LISTED BELOW OR OTHERWISE INCLUDED/REQUIRED IN THIS DOCUMENT.**

1.6.1 DEADLINE FOR RECEIPT OF PROPOSALS

Proposals must adhere to the format requirements and must be received by the deadline for receipt of proposals as specified in the Schedule of Events and Section 1.8.1 *Required Copies and Deadline for Receipt of Proposals*.

1.6.2 LEGAL STATUS LETTER OR TAXPAYER IDENTIFICATION VERIFICATION

Vendors must include a legible copy of their taxpayer identification letter from the Internal Revenue Service. If the legal status letter is not available, a completed and signed copy of the "**Request for Taxpayer Identification Number**" form (*Appendix B*) must be included.

1.6.3 DISCLOSURE STATEMENT

Act 2001-955 requires the disclosure statement to be completed and filed with all proposals, bids, contracts, or grant proposals to the State of Alabama in excess of \$5,000. Disclosure Statements are available for completion on the Attorney General's web site at www.ago.alabama.gov under *Publications and Forms*. Vendors may also click on the following link for a copy of the Disclosure Statement: (online fill-in)

<http://www.ago.alabama.gov/File-AL-Vendor-Disclosure-Statement> when connected to the internet. Vendors must include a completed copy of the Disclosure Statement in their proposals.

1.6.4 CERTIFICATE OF COMPLIANCE

Vendors must submit a completed, signed copy of the immigration affidavit (*Appendix D*) with their proposals.

1.6.5 E-VERIFY MEMORANDUM OF UNDERSTANDING (MOU)

Vendors must submit e-verify registration documentation with their proposals.

1.6.6 AUTHORIZED VENDOR SIGNATORY

Vendors must provide an original proposal using the format described, with an original signature of person(s) legally authorized to bind the applicant to the proposal.

1.6.7 DUNS NUMBER

Vendors must include their Dun & Bradstreet, or D-U-N-S, Number, a unique nine-digit identification number for each physical location of your business.

1.6.8 VERIFICATION OF REGISTRATION WITH SECRETARY OF STATE

Vendors must include a copy of the verification from the Secretary of State of approval to conduct business in the State of Alabama.

1.7 GENERAL REQUIREMENTS

1.7.1 ACCEPTANCE OF STANDARD TERMS AND CONDITIONS

By submitting a response to this RFP, vendors agree to acceptance of the standard terms and conditions of this RFP. Requests for additions or exceptions to the standard terms and conditions including any necessary licenses, or any added provisions must be submitted to the procurement officer by the due date for receipt of written questions and must be accompanied by an explanation of why the exception is being sought and what specific effect it will have on the vendor's ability to respond to the RFP or perform the contract.

Note: The Department reserves the right to not enter into a new contract with a current vendor who is under a corrective action plan until all corrective requirements have been met.

1.7.2 UNDERSTANDING OF SPECIFICATIONS AND REQUIREMENTS

By submitting a response to this RFP, vendors agree to an understanding of and compliance with the specifications and requirements described in this RFP.

1.7.3 PRIMARY VENDOR/SUBCONTRACTORS

The primary vendor if a contract is awarded shall be responsible, in total, for all work of any subcontractors. All subcontractors, if any, must be listed in the proposal. The vendor shall not assign, transfer or subcontract any portion of the contract without the written consent of the Department. The vendor shall be responsible to the Department for the acts and omissions of all subcontractors or agents and of persons directly or indirectly employed by such subcontractors, and for the acts and omissions of persons employed directly by the vendor. Any awards made as a result of this document will create a contractual relationship between the vendor and the Department, not the subcontractor.

1.7.4 VENDOR'S SIGNATURE

An individual authorized to legally bind the organization submitting the proposal must sign the original proposal in ink. The vendor's signature on a proposal in response to this RFP guarantees that the offer has been established without collusion that would preclude the Department from obtaining the best possible service for the best possible price.

1.8 SUBMITTING A PROPOSAL

1.8.1 REQUIRED COPIES AND DEADLINE FOR RECEIPT OF PROPOSALS

Vendors must submit **one (1)** original proposal, **seven (7)** copies and **one (1)** electronic (PDF preferred) copy on CD or DVD clearly labeled with the Vendor's name and the RFP title and number to:

**Starr Stewart, Director
Office of Procurement
Alabama Department of Human Resources
Gordon Persons Building, Room 2153
50 Ripley Street
Montgomery, AL 36130-4000**

Proposals must subscribe to the section/subsection headings and numbering format (i.e., **4.2.5.1 Vendor Qualifying Information**) as specified in *Section 4 Proposal Format and Instructions*. Proposals must be sealed and labeled on the outside of the package to clearly indicate that they are in response to the *Intensive In-home Services RFP# 2016-100-03. Proposals must be received at the receptionist's desk of the Resource Development-Office of Procurement by 12:00 p.m., local time, Thursday, July 07, 2016.* Two business (Monday-Friday) days prior to the due date, proposals may be hand delivered between the hours of 9:00 a.m. - 12:00 p.m. (with the exception of state and federal holidays). Faxed and electronically submitted responses to requests for proposals are NOT accepted.

1.8.2 FAILURE TO COMPLY WITH INSTRUCTIONS

Vendors failing to comply with these instructions may be deemed non-responsive or subject to point deductions. The Department may also choose to not evaluate, and disqualify from further consideration any proposals that do not follow the format described in Section 4: *Proposal Format and Instructions*, are difficult to understand or read, or are missing any requested information.

1.8.3 COST PROPOSAL FORMS

Vendors *must* respond to this RFP by utilizing the cost proposal forms found in *Appendix E*. These forms will be used as the primary representation of each Vendor's cost, and will be used extensively during proposal evaluations. Additional information should be included as necessary to explain in detail the Vendor's cost.

1.8.4 TIMELY SUBMITTED PROPOSALS

All timely submitted proposals and materials received in response to this RFP become the property of the Department and will be incorporated into any formal documentation and/or contract resulting from this RFP between the Department and the Vendor.

1.8.5 LATE PROPOSALS

Regardless of the cause, late proposals will not be accepted and will automatically be disqualified from further consideration. It shall be the Vendor's sole risk to assure delivery at the receptionist's desk at the designated office by the designated time. Late proposals will not be opened and may be returned to the Vendor at the expense of the Vendor or destroyed if requested.

SECTION 2: STANDARD INFORMATION

2.0 AUTHORITY

This RFP is issued under the authority of Section 41-16-72 of the Alabama Code (Act 2001-956, 2001 3rd Sp. Sess., p 817, §1.). Any awards resulting from this RFP will be made based on stated evaluation criteria. The weight assigned to each criterion denotes the relative importance of that criterion. No criteria, other than that identified in this RFP, will be used.

2.1 VENDOR COMPETITION

In accordance with 45 CFR 74.43, the Department encourages free and open competition among Vendors. Whenever possible, the Department will design specifications, proposal requests, and conditions to accomplish this objective, consistent with the necessity to satisfy the Department's need to procure technically sound, cost-effective services and supplies.

2.2 NONDISCRIMINATION

In accordance with 45 CFR 74.44, no person shall be excluded from participation in, be denied benefits of, be discriminated against in the admission or access to, or be discriminated against in treatment or employment in the Department's contracted programs or activities on the grounds of handicap and/or disability, age, race, color, religion, sex, national origin, or any other classification protected by Federal or Alabama State Constitutional or statutory law; nor shall they be excluded from participation in, be denied benefits of, or be otherwise subjected to discrimination in the performance of contracts with the Department or in the employment practices of the Department's contractors. Accordingly, all Vendors entering into contracts with the Department shall, upon request, be required to show proof of such nondiscrimination and to post in conspicuous places, available to all employees and applicants, notices of nondiscrimination.

2.3 IMMIGRATION AFFIDAVIT AND E-VERIFY

Only U.S. citizens or foreign citizens who have the necessary authorization to legally work in the United States may be employed to work under any contract with the Department. Vendors must agree to not knowingly employ, hire for employment, or continue to employ an unauthorized alien, and must provide to the Department a sworn affidavit (*Appendix D*) signed before a notary attesting to such.

The United States Citizenship and Immigration Services (www.uscis.gov) provides E-Verify, an internet-based system that allows companies to determine their employees' eligibility to work in the United States. Vendors must participate in the E-Verify program and verify every employee that is required to be verified according to the applicable federal rules and regulations. Vendors must provide documentation to the Department establishing that they are enrolled in the E-Verify program.

Vendors must agree to not knowingly employ, hire for employment, or continue to employ a subcontractor to perform work under a contract that knowingly employs, hires for employment, or continues to employ an unauthorized alien. Any subcontractor hired to perform work under a contract must attest to such by sworn affidavit signed before a notary. Subcontractors must also enroll in the E-Verify program prior to performing any work on a project and must attach to the sworn affidavit documentation establishing that the subcontractor is enrolled in the E-Verify program.

2.4 DUNS NUMBER

Vendors must obtain a Dun & Bradstreet, or D-U-N-S, Number, a unique nine-digit identification number for each physical location of your business. D-U-N-S Number assignment is free for all businesses required to register with the federal and state government for contracts or grants.

2.5 PROPOSAL EFFECTIVE PERIOD

All proposals submitted in response to this RFP shall be effective for a 180-day period following the deadline for receipt of proposals as specified in the Schedule of Events and may not be modified, withdrawn or canceled by the Vendor during this period.

2.6 TRADE SECRETS

As part of the initial evaluation, the procurement officer in charge of this solicitation will review proposals received in response to this RFP, for any information deemed a “trade secret” by the vendor and separate identified parts of proposal from public viewing providing the following conditions have been met: (1) confidential information is clearly marked and separated from the rest of the proposal; (2) the proposal does not contain confidential material in the cost or price section; and (3) an affidavit from a Vendor’s legal counsel attesting to and explaining the validity of the trade secret claim is attached to each proposal containing trade secrets. If applicable, the Vendor’s Legal Counsel must use the Department of Human Resources “Affidavit for Trade Secret Confidentiality” form when requesting the trade secret claim. The affidavit form (*Appendix C*) is included in this document.

Information separated out under this process will be available for review only by the procurement officer, the evaluation committee members, and limited other designees. Vendors must be prepared to pay all legal costs and fees associated with defending a claim for confidentiality in the event of a “right to know” (open records) request from another party.

2.7 PRE-SCREENING AND EVALUATION OF PROPOSALS

2.7.1 PRE-SCREENING

During the pre-screening, proposals will be reviewed to ensure compliance with all general and mandatory requirements. Upon completion of this initial review they will be classified “responsive” or “non-responsive”. However; proposals may be found non-responsive at any time during the evaluation process if the proposal does not meet the formatting requirements specified in the RFP; if any of the required information is not provided; or the submitted price is found to be excessive or inadequate as measured by criteria stated in the RFP. Proposals declared non-responsive, will not receive further consideration.

2.7.2 EVALUATION OF PROPOSALS

All responsive proposals will be evaluated against the stated criteria. However, this does not preclude the Department from any discussions/negotiations or requests for a best and final offer that it may deem necessary to assist in determining the Vendor. In scoring against stated criteria, the Department may consider such factors as accepted industry standards and a comparative evaluation of all other qualified RFP responses in terms of differing price, quality, and contractual factors to determine the most advantageous offering to the Department.

2.8 DISCUSSION/NEGOTIATION AND/OR ORAL PRESENTATION/PRODUCT DEMONSTRATION

After receipt of all proposals and prior to the determination of the award, the Department may initiate discussions with one or more Vendors should clarification or negotiation be necessary. Vendors may also be required to make an oral presentation and/or product demonstration to clarify their RFP response or to further define their offer. In either case, Vendors should be prepared to send qualified personnel to **Montgomery, Alabama**, to discuss technical and contractual aspects of the proposal. Oral presentations and product demonstrations, if requested, shall be at the Vendor’s expense.

2.9 BEST AND FINAL OFFER

The Department reserves the right to request a “best and final offer” for this RFP based on price/cost alone, from one or more Vendors if additional information is required to make a final decision. Vendors may be contacted asking that they submit their “best and final offer,” which must include any and all discussed and/or negotiated changes.

2.10 PUBLIC REQUESTS FOR INFORMATION

All information received in response to this RFP, including copyrighted material, is deemed public information and will be made available to the public upon requests shortly after the signing of a contract(s) with the exception of: (1) trade secrets meeting the requirements of the Alabama Trade Secrets Act, that have been properly marked, separated, and documented; and (2) any financial information requested by the Department, unless prior written consent has been given by the Vendor.

2.11 COST OF PREPARING A PROPOSAL

The Department is not liable for any expense incurred by the Vendor in the preparation and presentation of their proposal or any other costs incurred by the Vendor prior to execution of a contract. All costs are the responsibility of the Vendor.

2.12 DEPARTMENT’S RIGHTS RESERVED

While the Department has every intention to award a contract as a result of this RFP, issuance of the RFP in no way constitutes a commitment by the Department to award and execute a contract. Upon determination such actions would be in its best interest, the Department, in its sole discretion, reserves the right to the following:

2.12.1 PRE-SELECTION DISCRETION

The Department reserves the right at its sole discretion, at any time and for any reason, to reject any or all of the proposals submitted in response to this RFP, or to cancel this RFP, if it is deemed by the Department to be in its best interest to do so.

2.12.2 POST-SELECTION DISCRETION

Upon selection of a proposal, the Department reserves the right, at its sole discretion, at any time and for any reason, to change its decision with respect to the selection and to select another proposal, or to cancel the RFP, if it is deemed by the Department to be in its best interest to do so.

2.12.3 WAIVERS

Notwithstanding the amendment provisions otherwise set forth herein, the Department reserves the right at its sole discretion, to waive any minor irregularity in an otherwise valid proposal which would not jeopardize the overall program and to award a contract on the basis of such a waiver in the event the Department determines that such award is in the best interest of the Department. Minor irregularities are those which will not have a significant adverse effect on the overall program cost or performance.

2.12.4 NEGOTIATIONS

The Department reserves the right to negotiate with any vendor whose proposal is within the competitive range, as specified in the RFP with respect to technical plan and cost, as well as to select a vendor other than the vendor offering the lowest price.

2.12.5 ADOPTION OF IDEAS

The Department reserves the right to adopt to its use all or any part, of a vendor’s proposal and to use any idea or all ideas presented in a proposal.

2.12.6 ORAL PRESENTATIONS

The Department reserves the right to require some or all of the vendors to provide oral presentations of their proposals.

2.12.7 AMENDMENTS

The Department reserves the right to amend the RFP. Except as provided above with respect to “WAIVERS” made by the Department, all amendments to the RFP will be made by written addendum issued by the Department and posted to the web at www.dhr.alabama.gov under this RFP link.

2.12.8 NO GUARANTEE OF CONTRACT

Selection of a proposal shall not be binding upon the Department and may or may not, at the Department’s sole discretion, result in the Department entering into a contract with the vendor if it is in the best interest of Department not to proceed with contract execution.

2.12.9 RIGHT TO INVESTIGATE AND REJECT

The Department may make investigations as deemed necessary to determine the ability of the Vendor to perform the services specified. The Department reserves the right to reject any proposal if the evidence submitted by, or investigation of, the Vendor fails to satisfy the Department that the Vendor is properly qualified to carry out the obligations of the contract. This includes the Department’s ability to reject the proposal based on negative references.

2.12.10 DISCLAIMER

Issuance of this RFP does not constitute a commitment by the Department to select any proposal submitted in response to the RFP, or to award a contract to any vendor who responds to this RFP.

Note: All contracts awarded by this Department are subject to review and approval by the Legislative Oversight Committee and the Governor’s Office.

SECTION 3: SCOPE OF PROJECT

3.0 PROGRAMMATIC INFORMATION

Intensive In-Home Services (IIHS) is a dynamic process, which focuses on achieving the outcome of successful permanency within a safe, stable family setting in a timely manner for children. It will be expected that when a family is referred to the IIHS Vendor, the Vendor will provide the majority of the services that are needed to achieve the permanency outcome. If a child must be/has been removed from the home due to safety issues, the Vendor shall provide individualized services to the child during their out-of-home placement (with the exceptions of intensive residential care, and programs for sexual predators, active substance addicts, and the Intellectually Disabled population), and intensive in-home services for the family until safety issues have been resolved and the child can return to their home. According to Departmental policy, children are considered safe when there are no present or impending danger threats or the parent/caregiver has protective capacities that can control existing threats. Children are considered unsafe when they are vulnerable to present or impending danger threats and parents/caregivers are unable or unwilling to provide protection.

Appropriate referrals for the IIHS Vendor include families, whose children have already been removed from their own homes and reunification is the permanency goal (reunification cases) or families where the children are at imminent risk for being removed from their own homes (preservation cases). Referrals for reunification cases can include situations where children have been removed from their homes and placed in any basic or moderate residential facility, in traditional or therapeutic foster care, or in any other out-of-home placement situation where the child has been in out-of-home care for longer than six (6) months.

3.1 STAFF REQUIREMENTS/QUALIFICATIONS

Supervisors must possess a Master's degree in the field of social work, psychology, human and child development, counseling, or sociology from a college or university accredited by one of the six regional accrediting associations of the United States with a minimum of 2 years of successful full-time, paid supervisory experience in a social services setting (experience in providing in-home services is preferred).

Therapists must possess a LCSW, LGSW, ALC, and/or LPC from a college or university accredited by one of the six regional accrediting associations of the United States with two (2) years of proven post-master's experience, or a Master's Degree in the field of social work, psychology, or counseling with five (5) years of proven post-master's experience in family and children's services.

Family Support Workers must possess a Bachelor's degree in the field of social work, psychology, human and child development, counseling, sociology or related field, from a college or university accredited by one of the six regional accrediting associations of the United States and a minimum of **one (1)** year of associated experiences. **Employees of established programs who were hired prior to April 24, 2008; who possess a Bachelor's Degree from an accredited college or university; and who have a minimum of five years of experience providing human related services may be "grandfathered" in and considered for employment in this position.**

3.2 NUMBER OF STAFF PER TREATMENT TEAM

Treatment teams must include at least one (1) Supervisor, and up to six (6) Treatment Staff, including Therapists and Family Support Workers (based on the need of the region). Various service delivery models have varying supervisor to worker ratios. If a vendor is following the strict guidelines of a model to maintain model integrity and validity, those ratios must be maintained and detailed in the response.

3.3 STAFF AND CASELOAD RESTRICTIONS

Staff and caseload limits for treatment teams are as follows: Supervisors, a maximum of 4 family support workers and 2 therapists; Therapists, a maximum of 12 families per therapist; and Family Support Workers, a maximum of 6 families. The proposal must also delineate how supervision will be accomplished, both in case-specific and general work performance areas. *Supervisors will not carry a caseload.*

3.4 AVAILABILITY OF SERVICES

Services must be available 24 hours a day/7 days a week. Programs must detail how they will handle the after-hours and weekend referrals for services as well as how those services are to be provided when it is necessary to open a case during these time frames.

3.5 SERVICE DELIVERY

The service delivery process begins when a referral is made by the local County Department of Human Resources to the IIHS agency. Upon referral, DHR must document that the family is being referred for either prevention of out-of-home placement or for assistance with reunification. Such documentation, as well as other pertinent social history, must accompany the referral.

IIHS workers will be accessible to act upon referrals from county DHR workers twenty-four (24) hours a day, seven (7) days a week, and 365 days a year via telephone, cell phone, pager system and email. At the time of the initial referral, an intake assessment meeting which meets Medicaid requirements will be completed by the DHR worker. IIHS staff are required to contact families face-to-face within 24 hours (immediately if an emergency) from the time of the referral, to conduct their initial assessment of family needs and strengths. If the IIHS provider is unable to contact the family within 24 hours, the DHR referral worker must be notified. IIHS staff and referral workers must maintain contact at a frequency sufficient to address the circumstances and needs of families.

All referrals received will be listed in chronological order and contacted in the order of referral. The local County DHR Director or Resource Supervisor will reserve the right to advance a referral on the waiting list deemed to need immediate services. If there are no openings, DHR staff will look for another IIHS Vendor. Families needing crisis intervention will not be placed on the waiting list until the crisis has been stabilized.

The IIHS Supervisor will contact the DHR referral worker, within two days of an anticipated opening, to obtain updated information and arrange an initial visit with a new family. Any variation of this procedure must be approved by the local County DHR.

The role, function, hours of provision of services, and length of intervention by the IIHS workers is determined by the needs of the family as defined in the ISP. The IIHS workers will accept up to 6 cases (families). The total number of hours provided by the IIHS workers will include travel time between client family homes and sufficient time for mental health consultation and documentation. The IIHS workers will provide as many hours of in-home intervention as needed and as identified by the ISP team and assessments. The IIHS worker will use flexible work hours to meet the needs of the family. The flexibility of the schedule of the workers will include, but not limited, to availability on a 24-hour, seven (7) days a week basis, 365 days per year.

A case is identified as a family, not as an individual child. Further, even if a child ultimately requires out-of-home placement, the services will continue with the family to help them adjust to this transition and work towards reunification. IIHS cases are based upon a perspective which views the family as a system consisting of all extended family members and support networks within the community. The IIHS workers work with families in their own homes where the problems are occurring and in the community. The IIHS workers seek to

develop a family-like bond with all members of the client system to use the “extended family” relationship to help the family learn additional skills that they may use to function more effectively in the future.

IIHS works in partnership with the local County Department of Human Resources and the families served to obtain whatever combination of services, resources and supports necessary in order to help families in their efforts to remain safely together and reach an optimal and effective level of daily functioning.

Family assessment is an on-going process. Services are provided only for as long as services are needed. It is anticipated that treatment through IIHS services may last from 6 to 9 months. If it is necessary to exceed the 9 months of service authorization, there must be concurrence from the county director and State DHR.

3.6 CORE SERVICES

Vendors must provide all of the services identified below. Services must be based on the needs identified in the ISP and ISP team decisions. Vendors must:

- A. Schedule and coordinate the child’s treatment plan: initial treatment plan within ten (10) days, comprehensive treatment plan within thirty (30) days and reviews every ninety (90) days. All treatment plans developed by the agency should be coordinated with the DHR county social workers and based upon the goals established in the child’s Individualized Service Plan (ISP). The IIHS agency is required to obtain a copy of the Comprehensive Family Assessment/Intake Evaluation form and an ISP from the referring county DHR office.
- B. Initiate discharge planning from point of admission through point of discharge with emphasis on moving toward safety and permanency outcome as quickly as possible.
- C. Conduct two or more (as needed) in-home, face to face contacts per week with the family (a minimum of one hour duration) to examine family relationships, roles and dynamics, and to assess how these issues impact family functioning including those contacts by a therapist or family worker, based on the needs identified by the ISP / ISP team. **(Preservation cases)**
- D. Conduct one or more (as needed) in-home, face to face contacts per week with the family (a minimum of one hour duration) to examine family relationships, roles and dynamics, and to assess how these issues impact family functioning including those contacts by a therapist or family worker, based on the needs identified by the ISP / ISP team. **(Reunification cases)**
- E. Conduct two or more (as needed) visits per month with the out of home child/children to discuss movement toward permanency outcomes detailed in the ISP, performing in the role of child advocate when at the out of home placement. **(Reunification cases)**
- F. Provide face-to-face or telephone contact with school, therapists or other providers, once per month or more as needed, to monitor the child and family’s progress.
- G. Assist in the referral to other programs/services, advocate for the child and family by accompanying them to appointments as identified in the family’s ISP including the coordination of transportation, family visits and activities.
- H. Provide education and support to enhance the child’s and family’s ability to function independently by assisting the family with locating and appropriately utilizing community resources, services and activities (e.g., food, clothes, shelter, transportation, etc.)
- I. Provide family support with family, supervise family visitation as outlined in the ISP/Treatment Plan. Support must include the provision of services to assist the child’s family members in understanding the nature of the child and how to help the child be maintained in the community by providing education about the child’s illness, expected symptoms, medication management, parenting support, therapeutic visitation support, educational advocacy and to encourage school success, as identified in the family’s ISP.

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- J. Attend ISP's, IEP's, court hearings and other appointments along with the child and family to assure coordination of services. Assist the family /child in getting to meetings or appointments when necessary.
- K. Provide progress/summary reports to the referring DHR worker on a monthly basis and prior to any court hearing. Reports must document progress or lack thereof and provide an actual assessment of threats and protective capacity for preservation cases and for reunification cases make recommendations that include assessment, a plan to incorporate more visitation and detailed feedback regarding bonding/attachment/parenting skills, etc.
- L. Assist in creating a behavior management plan for the child with the other members of the ISP team. All IIHS agencies must maintain staff that has expertise in the development of such plans. (DHR shall assume the responsibility of completing behavioral management plans on all children that require them.)
- M. Participate in the development of the Safety Plan as needed.
- N. Provide crisis intervention services on a 24 hours/7days a week basis, as needed, to alleviate a crisis for the child or to assist the family in alleviating a crisis for the child.
- O. Provide weekly consultation with DHR and an immediate response in the event health or safety issues poses a threat to the child.

3.7 ROLES OF DHR AND IIHS

As it relates to roles, ultimately, DHR is the case manager for the case.

3.7.1 DHR ROLES

- A. DHR will be responsible for coordinating the scheduling and holding of the ISP with the document distributed within 10 working days.
- B. DHR will work with the IIHS agency on the development of the treatment plan and for a regular review of the plan.
- C. DHR will be responsible for assuring that all services to be provided are included in the ISP including the core services that are appropriate for the case. DHR is also responsible for assuring that all pertinent team members attend the ISP including the IIHS staff.
- D. The DHR worker will confirm with the family the acceptance of the services deemed necessary for the family and will arrange for a time of introduction of the IIHS staff to the family where responsibilities and roles are discussed – Within 48 hours of service acceptance the DHR worker will contact the family and the IIHS provider to schedule a face to face in-home initial visit as soon as possible but not to exceed 4 working days.
- E. Copies of the intake evaluation or comprehensive family assessment, with adequate information for intake evaluation purposes, and an ISP must be provided to IIHS agencies within 10 days. DHR staff is required to complete and update regularly CFA's on all families referred for IIHS incorporating the information obtained by the IIHS agency into the document.
- F. DHR will responsible for assuring that the IIHS agency has a copy of the ISP if one already exists and is responsible for assuring that the IIHS agency participates in the ISP.
- G. DHR staff will be required to make a monthly face to face contact with each child and family.
- H. DHR will participate in conferences with the school, including the parents, the IIHS worker, and particularly when problems have been identified that need resolution.
- I. DHR will be responsible for assuring that reports from the IIHS agency are received in a timely manner. If problems have arisen and reports are not received, should assure that the weekly consultations with the IIHS agency are held.
- J. The DHR worker will also review the reports to determine that adequate progress is being made by the family and to assure that the appropriate supports are in place.

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- K. DHR will review the therapist's reports and will schedule an ISP if there are significant requirements for a child/family that are not being addressed by the services that are being provided. DHR should also participate in the counseling sessions as the need arises.
- L. The DHR worker will assist in the coordination of services to address the needs of the family.
- M. DHR will work with the IIHS agency to develop crisis plans and safety plans that are deemed necessary to support the family and assure safety for children.
- N. DHR has its own QA component as it relates to the local QA committee and case review process.
- O. DHR will assist the IIHS agency in gaining access to the policies that direct the work of the agency.

3.7.2 ROLES OF IIHS WORKERS

- A. The IIHS worker is responsible for completion of all assigned tasks in the ISP.
- B. The IIHS worker actively participants in the ISP.
- C. The IIHS worker/staff is responsible for meeting the requirements listed in the core services.
- D. The IIHS worker is responsible for preparing monthly comprehensive reports that are current, accurate, and meaningful, are behaviorally specific and describe barriers/outcomes.
- E. The IIHS agency is responsible for recruiting candidates for the various positions that are part of the contract and for coordinating with DHR on the suitability of the various candidates.
- F. After the family has agreed to the service intervention, The IIHS agency will accompany the DHR worker to the home at the point of first introduction to the family and will participate in a discussion of the plan for the family.
- G. The IIHS agency will receive and review the intake evaluation and depending on the other information available from DHR may coordinate the pulling together of information to complete the CFA.
- H. IIHS staff is required to be available to the families 24 hours per day 7 days per week and should be available to provide crisis intervention as needed.
- I. The IIHS staff members are required to have contact with the schools to monitor the child's progress and to make reports on progress to DHR once per month. Any contacts should include involving the parents in the discussions.
- J. The IIHS staff can call an ISP if one is deemed necessary but has not been scheduled.
- K. The IIHS staff will assist in making referrals to other programs/services to address the needs identified for the child/family and will monitor those services to be sure that they are meeting the needs.
- L. The IIHS staff will work with the family to arrange for community and family supports that will support independence of the family from agency involvement.
- M. The IIHS staff will schedule and coordinate the family treatment plan as per the information in the core services up to and including discharge from the program.
- N. The IIHS staff members are responsible for working with the local DHR office and SDHR to resolve any concerns that are identified.
- O. The IIHS agency should assist in the development and monitoring of a behavior management plan for children, as necessary, with all participants.
- P. The IIHS agency will work with DHR to develop crisis plans and safety plans that are deemed necessary to support the family and assure safety for children.
- Q. The IIHS agency will conduct QA activities, including outcome measures, for the services/programs being provided and will share this information with DHR. Progress toward outcomes will be assessed every ninety (90) days.

- R. The IIHS agency will be familiar with pertinent DHR policies related to the service provision, planning with families.

3.9 REJECT/CLOSURE POLICY

Each proposal must define how the program will meet the following criteria for rejections and closures within the program. (Just a statement that they will not occur will not suffice for explanation of how these requirements will be met.)

- Rejections: A Vendor will be able to reject no more than 10% of the referrals appropriate for IIHS (as determined by DHR) in any program year, except when it can be documented that there are no available slots.
- Closures: A Vendor will be allowed to request case closure if the permanency goal changes from preservation/reunification or the child moves to a placement category that is not serviced by the IIHS provider (intensive residential care, and programs for sexual predators, active substance addicts and the Intellectually Disabled population) Any immediate closures by the program must either be an ISP team decision or approved by SDHR. An unusually high closure rate (more than 3%) for other than successful closure, will result in follow up conversations about the continued viability of the contract agreement.

3.10 AFTERCARE

Aftercare is the time period during which the Vendor is tracking to ensure that a permanent placement is viable so that the child will not enter care. If a child must re-enter care during this time, it will be at no additional cost to the Department. The Vendor is expected to link/collaborate with the child's ISP team throughout this period. The period of aftercare established by the Department is three (3) months. Proposals must indicate how aftercare will be accomplished and what services will be provided during the aftercare period.

3.11 OUTCOMES

It is expected that all outcomes will be directly related to safety, permanency and well-being. General outcomes that must be measured by all vendors are:

- The percentage of the children reunified with family or placed in their permanent placement will remain stable at the one-year anniversary of their discharge from the program (82% or better, is the expectation).
- The percentage of the children being served by the in-home prevention teams that remain in their own homes will remain stable at the one year anniversary of their discharge from the program (82% or better, is the expectation).

Note: Other possible outcomes this section would include outcomes addressing: 1) Stability of placement; 2) Low repeat maltreatment; 3) Entry or re-entry into foster care; 4) Educational success; and 5) Outcome measures of child well-being.

Proposals must indicate what remedial measures a program will take if these outcomes are not attained, as well as how the program will ensure that successes in placements will improve above this threshold, once the program has become operational. Vendors accomplishing a higher rate of stabilization may receive additional slots, if needed; and Vendors not meeting this threshold may receive a reduction in the contracted slots that they may provide.

3.12 QUALITY ASSURANCE

Proposals must clearly identify the quality assurance process that will be utilized in the delivery of IIHS services. The process must include staff that will be used in quality assurance assessment, as well as what model will be used. Vendors must submit a copy of their quality assurance plan with the proposal.

3.13 TRACKING

Each proposal must affirm capacity to capture relevant data and demonstrate how the Vendor will track the children or youth and families who have received services through their program. Critical data to capture includes, but is not limited to: number of referrals; number of cases accepted; number of cases rejected (reason for rejection); number of children/families served; number of children/families (cases) closed (reason for closure); average length of stay (in days/aggregate); successful closures; successful closures 3, 6, 12, 24 months post-closure. Tracking for each family served successfully by the IIHS provider must be for no less than twenty-four (24) months post closure. Proposals must indicate additional areas that will be tracked and what outcomes are expected in each of the areas. Selected Vendors will be required to provide all reports to county and State DHR that are required in case management and tracking for service utilization monthly.

SECTION 4: PROPOSAL FORMAT AND INSTRUCTIONS

4.0 PROPOSAL REQUIREMENTS

Proposals must meet all requirements as specified in this RFP. Proposals must incorporate the specified structure and format; must be clear, detailed, and complete as to fully demonstrate that the prospective Vendor has a thorough understanding of the requirements for providing the services for this procurement. Statements that the Vendor understands, acknowledges, or can comply with the requirements/specifications and statements paraphrasing the specifications are considered inadequate as are phrases such as “industry standards will be adhered to” and/or “standard procedures will be implemented”, or “research-based models will be used”. Proposals must clearly and adequately describe the concepts and methodologies to be implemented by the Vendor. Information must be clear, succinct, and easily understandable.

4.1 COMPLETENESS OF PROPOSALS

Selection(s) and award(s) will be based on the Vendor’s proposal and other items described in this RFP. Proposals should not include references to information located elsewhere, such as Internet websites. Information or materials presented by Vendors outside the formal response or subsequent discussion/negotiation or “best and final offer,” if requested, will not be considered, will have no bearing on any award, and may result in the vendor being disqualified from further consideration.

4.2 PROPOSAL FORMAT

Proposals must not exceed one hundred and fifty (**150**) pages, including attachments and must be single-spaced, incorporating 1-inch margins, typed using Times New Roman (font), size 12, black print. Proposals must be printed/copied onto one side of standard (8½ x 11) white typing/copier paper. *Paragraphs must be double-spaced.* All proposals (the original and copies) must include labeled tabs that correspond with the bolded sections and subsections (titles and numbers) to which the information pertains as specified in Section 4 of this document. ***Do not use adhesive tabs (on pages of the proposal), tabs with paper inserts, sheet protectors, rings or prong fasteners.*** Vendors should avoid the use of elaborate presentations and binding materials beyond that which is sufficient to present complete and effective proposals. **Proposals that do not adhere to the specified format may be deemed non-responsive.**

4.2.1 COVER SHEET

The first page of each proposal must be the completed **Cover Sheet** (RFP cover sheet) with an original ink signature of the person(s) legally authorized to bind the vendor to the proposal. **Proposals without signatures of persons legally authorized to bind the vendor to the proposal will be rejected.** The cover sheet must also include the name of the contact person and contact information of the person authorized to act on behalf of the vendor (do not number this page). Vendors must also provide their federal employer identification number and DUNS number. Also, denote the original proposal and copies by placing a check in the appropriate box on the cover sheet.

4.2.2 TABLE OF CONTENTS

The Cover Sheet should be followed by the “**Table of Contents**”, which should list all sections, subsections and page numbers. Numbering of the proposal pages should begin with page 1 of the Table of Contents. Page numbers should be placed in the right corner of the bottom margin.

4.2.3 LEGAL STATUS FORM/CP575 OR TAXPAYER IDENTIFICATION NUMBER

The Table of Contents must be followed by a copy of the **Legal Status Form** or a **CP575**. *These forms are issued by the IRS and denote an organization’s legal status (i.e., non-profit, for-profit, corporation, etc.) and include the Federal Employee Identification Number (FEIN).* If neither the Legal Status Form nor the CP575

are available, a completed and signed copy of the “**Request for Taxpayer Identification Number**” form (*Appendix B*) must be included. All items on this form must be completed.

4.2.4 LICENSES/CERTIFICATES/CREDENTIALS

The Legal Status Form or Request for Taxpayer Identification Number Form should be followed by a copy of all required **Licenses, Certificates, and Credentials** or a copy of a completed license application form submitted by the deadline specified in this RFP.

4.2.5 TECHNICAL PROPOSAL

Copies of Licenses/Certificates/Credentials, if applicable should be followed by the **Technical Proposal**. The Technical Proposal must prescribe to sections **4.2.5.1** through **4.2.5.4.6** below.

4.2.5.1 VENDOR QUALIFYING INFORMATION

4.2.5.1.1 Vendor Profile and Experience

Vendor must specify how long it has been in the business of providing services similar to those requested in this RFP and under what company name. Also list all names it has used when conducting business. Vendors must explain their expertise or history in the provision of such services or identify a nationally recognized model that has proven to be successful that will be used in the provision of services under this RFP. Vendors must provide an organizational profile including: number of employees, and form of business (e.g. individual, sole proprietor, corporation, non-profit corporation, limited liability company.).

4.2.5.1.2 Past and Present Contractual Relationships with the Department

Vendors must describe any past or present contractual relationship it may have or have had with the Department or any other state agency including colleges/universities during the past three years. If the vendor, its predecessor, or any party named in their responses to this section has contracted with any department within State Government during the past three years, identify the contract number and other information available to identify such contract(s). If no such contracts exist, so declare. If any party named in the vendor’s response to this RFP was an employee of the State in the past two years, identify the individual(s) by name, agency by which employed, job title of position held, and separation date during the two-year period. If no such relationship exists, so declare.

4.2.5.1.3 Contract Performance

If the vendor, or any proposed Subcontractor, has had a contract terminated for default during the past five years, all such instances must be described as discussed below. Termination for default is defined as notice to stop performance delivery due to the vendor’s nonperformance or poor performance and the issue was either (a) not litigated due to inaction on the part of the vendor; or (b) litigated and such litigation determined the vendor to be in default. Submit full details of all terminations for default experienced by the vendor during the past five years, including the other party’s name, address, and telephone number. Present the vendor’s position on the matter. The Department will evaluate the facts and may, at its sole discretion, reject the vendor’s Proposal if the facts discovered indicate that completion of a contract resulting from this RFP may be jeopardized by selection of the vendor. If the vendor has experienced no such terminations for default in the past five years, so declare. If at any time during the past five years, the vendor has had a contract terminated for convenience, non-allocation of funds, or any other reason, which termination occurred before completion of all obligations under the initial contract provisions, describe fully all such terminations including the name and address of the other contracting party and the circumstances surrounding the termination. If no such early terminations have occurred, so declare.

Failure to report on the foregoing or if the information furnished is determined to be inaccurate, whether by omission or commission, shall result in rejection of the vendor’s Proposal.

No points will be assigned to proposals submitted by new or current vendors who have performed their contractual obligations satisfactorily. **However, current vendors who have performed unsatisfactorily may experience point deductions up to a maximum of 10 points.**

Note: The Department reserves the right to not enter into a new contract with a current vendor who is under a corrective action plan until all corrective requirements have been met.

4.2.5.1.4 Project Staff/Resumes/Job Descriptions

Vendors must submit a resume or job description detailing the level of education, experience, training, skills, etc. which emphasizes previous experience in the service area as described in this RFP for all key personnel who will be involved with the proposed project. Vendors must indicate that they have sufficient staff to perform the services required in this RFP. If sufficient staff is not currently available, describe how staff will be obtained to provide the services and the timeline for obtaining the needed staff. Indicate the number of anticipated staff for each position title. List all professional licenses held by the key staff.

4.2.5.1.5 Staff Performance Evaluations and Training

Vendors must describe their staff development program regarding orientation, on-going staff evaluation and training that will be implemented throughout the contract period to ensure delivery of effective services that adhere to the Department's required performance standards.

4.2.5.1.6 Background Checks

Describe in detail the steps the vendor will take to ensure that no employee, regardless of their position, has been the subject of any incident or investigation which would call into question the propriety of that employee's working with the population indicated in this document and for this project. Provide documentation that each employee has had an Alabama Bureau of Investigation (ABI) and a Federal Bureau of Investigation (FBI) criminal background check. Describe your organization's general procedure for addressing occurrences when an incident or allegation is reported, founded or unfounded.

4.2.5.2 VENDOR FINANCIAL STABILITY

Vendors must submit an audited financial statement for year 2014 and letters from the auditor(s) who performed the 2013 and 2012 financial audits. Vendors of newly formed organizations, who have been in business less than one year must submit copies of any official quarterly financial statements (from a financial institution) that have been prepared since the end of the period reported by your most recent annual report.

4.2.5.3 Method of Providing Services

4.2.5.3.1 Service Delivery Approach

Vendors must provide a detailed description of the work plan and the methods to be used that will convincingly demonstrate to the Department what the vendor intends to do, the timeframes necessary to accomplish the work, and how the work will be accomplished. Vendors must identify the counties/regions to be served by the proposed project. *All services as specified in Section 3: Scope of Project must be addressed in the Service Delivery Approach.*

4.2.5.3.2 Start-up Plan

Vendors must include a detailed project schedule that is comprised of the detailed work plan for the entire project. This section should also include any proposed additions to the tasks outlined in the *Section 3: Scope of Work. The selected vendor must be fully operational on Saturday, October 01, 2016.*

4.2.5.3.3 Reject/Closure Policies

Vendors must clearly define situations that will constitute a rejection and situations that will cause a family's case to be closed.

4.2.5.3.4 *Aftercare*

Describe the type, frequency, and duration of services that will be provided to children and families, once they have discharged from the program. Also describe the crisis intervention services that will be provided during a family's participation the program and post-discharge. IHHS Programs should provide no less than ninety (90) days of aftercare.

4.2.5.3.5 *Tracking*

Describe in detail how the children/youth and their families will be tracked and how the statistical information will be reported to the Department. Vendors will be required to track: the number of referrals; number of cases accepted; number of cases rejected; number of children/families served; number of children/family case closures and reason; average length of stay; successful closures; and successful closures at 3,6,12,and 24 months post-closure. Reports must be provided to SDHR monthly or as often as requested by the Department.

4.2.5.3.6 *Assessment of Benefits and Impact*

Describe the process that will used to assess the proposed services to determine if the expected benefits and their impact have occurred. Include on-going plans to continuously assess and modify services to better meet the needs of the target population. The assessment methodology should provide the Department with meaningful indicators that funded projects are making satisfactory progress toward desired goals.

4.2.5.3.7 *Office Location*

Vendors must provide the physical address where records will be maintained and services will be performed under a contract with the Department in the event the vendor is selected.

4.2.5.3.8 *Vendor Certifications*

Vendors must submit a statement attesting that they warrant and represent to the Department that the vendor accepts and agrees with all certifications and terms and conditions of this RFP. Further, by submitting a response to this RFP, the vendor certifies to the Department that they are legally authorized to conduct business within the State of Alabama and to carry out the services described in this document.

4.2.5.4. *Revolving Door Policy*

Vendors must attest that neither the vendor nor any of the vendor's trustees, officers, directors, agents, servants or employees is a current employee of the Department, and none of the said individuals have been employees of the Department in violation of the revolving door prohibitions contained in the state of Alabama ethics laws.

4.2.5.4.1 *Debarment*

Vendors must attest that neither the vendor nor any of the vendor's trustees, officers, directors, agents, servants or employees (whether paid or voluntary) is debarred or suspended or otherwise excluded from or ineligible for participation in federal assistance programs under Executive Order 12549, "Debarment and Suspension."

4.2.5.4.2 *Standard Contract*

The vendor must agree to the use of the Department's standard contract document. The vendor will further comply with all the terms and conditions of that document, including, but not limited to, compliance with the Title VI of the Civil Rights Act of 1964, the Rehabilitation Act of 1973, as amended, the Americans with Disabilities Act, Alabama Act No. 2000-775 (governing individuals in direct service positions who have unsupervised access to children), the Health Insurance Portability and Accountability Act of 1996 (HIPAA) as applicable, and all other federal and state laws, rules and regulations applicable to receiving funds from the Department to carry out the services described in this RFP. Further, any contract executed pursuant to the RFP must be subject to review by the Department's legal counsel as to its legality of form and compliance with State contract laws, terms and conditions, and may further be subject to review by the Alabama Legislative Contract Review Committee, Examiners of Public Accounts, the State Finance Director and the Office of the Governor.

4.2.5.4.3 Charitable Choice (applies to faith-based organizations only)

The vendor must attest that funds received as a result of this procurement will not be used for sectarian instruction, worship, proselytizing or for any other purely religious activities that are not directed toward the secular social goals related to the services described in this RFP. The vendor must agree to serve all eligible members of the public without regard to their religious beliefs and, further, must not require clients' active participation in any religious practice. (In carrying out the said services, the vendor will remain independent from federal, state and local governments; will retain control over the expression of its religious beliefs, and is NOT required to remove its religious writings or symbols or to alter its internal governance as a condition of doing business with the Department.)

4.2.5.4.4 Financial Accounting

Vendors must agree that the vendor's accounting system will be consistent with General Accepted Governmental Accounting Principles (GAAP). The vendor must maintain sufficient financial accounting records documenting all funding sources and applicable expenditure of all funds from all sources.

4.2.5.4.5 Vendor Work Product

The vendor must attest that the proposal submitted in response to this document is the work product of said vendor. If the proposal is determined not to be the work product of the vendor, the proposal may, at the Department's sole discretion, be rejected.

4.2.5.5 ATTACHMENTS

Vendors must include all applicable forms identified below as attachments (i.e., Attachment A, B, C, etc.), applicable forms must follow the Technical Proposal. **DO NOT ALTER ANY OF THE FORMS LISTED BELOW OR OTHERWISE INCLUDED/REQUIRED IN THIS DOCUMENT.**

4.2.5.5.1 Disclosure Statement

The Technical Proposal must be followed by a completed copy of the **Disclosure Statement**.

4.2.5.5.2 Trade Secret Affidavit

The Disclosure Statement must be followed by a completed copy of the **Trade Secret Affidavit** (*Appendix C*), if applicable.

4.2.5.5.3 Certificate of Compliance

The Trade Secret Affidavit must be followed by a completed copy of the **Certificate of Compliance** (*Appendix D*). **All proposals must include the Certificate of Compliance.**

4.2.5.5.4 E-verify Memorandum of Understanding

The Certificate of Compliance must be followed by a completed copy of the **E-verify Memorandum of Understanding documentation**. **All proposals must include the E-verify Memorandum of Understanding documentation.**

SECTION 5: COST PROPOSAL

5.0 COST PROPOSAL

Preservation cases are reimbursable at a rate of \$1520 per month with a 15% match (here are the new rates, should there be an option to bill Medicaid). Reunification cases are reimbursable at a rate of \$1355 per month. Vendors have the option of choosing whether or not to bill for Medicaid eligible services. Vendors who choose to bill Medicaid must indicate that they are able to bill by meeting all Medicaid criteria. Vendors that choose the Medicaid option will receive 100% of Medicaid Reimbursement and will also be responsible for all chargebacks. Vendors who choose the Non-Medicaid option will be paid upon receipt of invoice and documentation. The rates for service will be different based on the option that is chosen and the service provided.

	<u>Non-Medicaid</u>	<u>Medicaid</u>
In-home room and board	\$1,970.00	\$1,355.00
Out-of-home room and board for one child	\$3,442.97	\$2,368.25
Add on rate for each additional child in out- of-home	\$1,721.49	\$1,185.62.

Example: A continuum vendor receives a referral on a reunification family. This particular vendor has elected not to bill Medicaid. At the time of the referral the monthly rate for the vendor would be in home room and board rate of \$1,970.00. In serving the family it becomes necessary to serve one of the children outside of the home there new rate would now be the out of home rate for one child which is \$3,442.97. If an additional child had to be put in an out of home placement an additional \$1,721.49 which would raise the rate from \$3,442.97 to \$5,164.46.

5.1. METHOD OF PAYMENT

Payment for services provided pursuant to this procurement will be made on a cost reimbursement basis. Administrative costs must not exceed 10 percent. The Department does not provide cash advances to vendors. The selected vendor must submit invoices after it has provided the goods or services being invoiced in a timely manner.

5.2 HOLDBACKS AND PRICING INFORMATION

As a guarantee for the delivery of services required by this RFP, and the acceptance by the Department of those services in accordance with the specifications set forth in the RFP, in the event the vendor fails to deliver or perform the said services to the Department’s satisfaction, the Department reserves the right to withhold part or all of any funds committed by the Department under any contract that may result from a proposal submitted in response to this RFP and to cancel the said contract without any resulting liability, present and future, to the Department or to the State of Alabama.

Note: A fixed rate is specified in this RFP document for provision of services, any proposal submitted exceeding the fixed rate will be deemed non-responsive and no further consideration will be given.

SECTION 6: EVALUATION CRITERIA

6.0 EVALUATION CRITERIA

The evaluation committee will review and evaluate the proposals according to the following criteria based on a **maximum possible value of 1,000 points**. Proposals failing to meet the requirements of this RFP may be deemed non-responsive or subject to point deductions. The point value assigned to each component of the **Technical Proposal and Cost Proposal** will be based on the following scoring scale.

Category	RFP Section	Point Value
Vendor Qualifying Information		30% of points for a possible 300 points
A. Vendor Profile and Experience	4.2.5.1.1	300
B. Past and Present Contractual Relationships with the Department	4.2.5.1.2	0
C. Contract Performance	4.2.5.1.3	<i>To be Determined</i>
D. Project Staff/Resumes/Job Descriptions	4.2.5.1.4	10
E. Staff Performance Evaluations and Training	4.2.5.1.5	10
F. Background Checks	4.2.5.1.6	0
G. Vendor Financial Stability	4.2.5.2	5
Method of Providing Services		50% of points for a possible 500 points
A. Service Delivery Approach	4.2.5.3.1	450
B. Start-up Plan	4.2.5.3.2	10
C. Reject/Closure Policies	4.2.5.3.3	15
D. Aftercare	4.2.5.3.4	10
E. Tracking	4.2.5.3.5	10
F. Assessment of Benefits and Impact	4.2.5.3.6	5
G. Office Location	4.2.5.3.7	0
H. Vendor Certifications	4.2.5.4	0
Cost Proposal		20% of points for a possible 200 points
A. Cost Proposal	5.0	200

APPENDIX A: STANDARD TERMS AND CONDITIONS

By submitting a response to request for proposal or acceptance of a contract, the vendor agrees to acceptance of the following Standard Terms and Conditions and any other provisions that are specific to this solicitation or contract.

ACCEPTANCE/REJECTION OF PROPOSALS: The Department reserves the right to accept or reject any or all proposals, wholly or in part, and to make awards in any manner deemed in the best interest of the Department. Proposals will be firm for 180 days, unless stated otherwise in the text of the request for proposal.

ACCESS AND RETENTION OF RECORDS: The contractor agrees to provide the Department, Legislative Auditor, or authorized agents, access to any records necessary to determine contract compliance. The contractor agrees to create and retain records supporting the services rendered or supplies delivered for a period of three years after either the completion date of the contract or the conclusion of any claim, litigation, or exception relating to the contract taken by the Department or third party.

ALTERATION OF SOLICITATION DOCUMENT: In the event of inconsistencies or contradictions between language contained in the Department's solicitation document and a vendor's response, the language contained in the Department's original solicitation document will prevail. Intentional manipulation and/or alteration of solicitation document language will result in the vendor's disqualification and possible debarment.

ASSIGNMENT, TRANSFER AND SUBCONTRACTING: The contractor shall not assign, transfer or subcontract any portion of the contract without the express written consent of the Department.

AUTHORITY: The following request for proposal, limited solicitation, or contract is issued under authority of Section 41-16-72 of the Alabama Code (Act 2001-956, 2001 3rd Sp. Sess., p 817, §1.)

CHARGE BACKS: The Alabama Department of Human Resources and Medicaid will deduct federal charge backs from future payments.

COMPLIANCE WITH LAWS: The contractor must, in performance of work under the contract, fully comply with all applicable federal, department, or local laws, rules and regulations, including the Civil Rights Act of 1964, the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990, and Section 504 of the Rehabilitation Act of 1973. Any subletting or subcontracting by the contractor subjects subcontractors to the same provision. The contractor agrees that the hiring of persons to perform the contract will be made on the basis of merit and qualifications and there will be no discrimination based upon race, color, religion, creed, political ideas, sex, age, marital status, physical or mental disability, or national origin by the persons performing the contract.

DEBARMENT: The contractor certifies, by submitting this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction (contract) by any governmental department or agency. If the contractor cannot certify this statement, attach a written explanation for review by the Department.

DISABILITY ACCOMMODATIONS: The Department does not discriminate on the basis of disability in admission to, access to, or operations of its programs, services, or activities. Individuals, who need aids, alternative document formats, or services for effective communications or other disability-related accommodations in the programs and services offered, are invited to make their needs and preferences known to this office. Interested parties should provide as much advance notice as possible.

FACSIMILE RESPONSES: Facsimile responses will not be accepted for requested for proposals or limited solicitations.

FAILURE TO HONOR PROPOSAL: If a vendor to whom a contract is awarded refuses to accept the award (contract) or, fails to deliver in accordance with the contract terms and conditions, the Department may, in its discretion, suspend the vendor for a period of time from entering into any contracts with the Department.

FORCE MAJEURE: Neither party shall be responsible for failure to fulfill its obligations due to causes beyond its reasonable control, including without limitation, acts or omissions of government or military authority, acts of God, materials shortages, transportation delays, fires, floods, labor disturbances, riots, wars, terrorist acts, or any other causes, directly or indirectly beyond the reasonable control of the non-performing party, so long as such party is using its best efforts to remedy such failure or delays.

FOREIGN CORPORATIONS (OUT-OF-STATE FIRMS): Alabama law provides that a foreign corporation (out-of-state company/firm) may not transact business in the state until it obtains a certificate of authority from the Secretary of State, Section 10-2B-15.01, Code of Alabama 1975. To obtain form for a certificate of authority, contact the Secretary of State, Corporation Division, (334) 242-5324. Not having this certificate does not keep the vendor from registering.

HOLD HARMLESS/INDEMNIFICATION: The contractor agrees to hold the Department, its elected and appointed officials, agents, and employees, while acting within the scope of their duties as such, harmless from and against all claims, demands, causes of action of any kind or character, including the cost of defense thereof, arising in favor of the contractor's employees or third parties on account of bodily or personal injuries, death, or damage to property arising out of services performed or omissions of services or in any way resulting from the acts or omissions of the contractor and/or its agents, employees, representatives, assigns, subcontractors, except for acts of omission resulting from the sole negligence of the Department, under this agreement.

LATE PROPOSALS: Regardless of cause, late proposals will not be accepted and will automatically be disqualified from further consideration. It shall be solely the vendor's risk to assure delivery at the designated office by the designated time. Late proposals will not be opened and may be returned to the vendor at the expense of the vendor or destroyed if requested.

REGISTRATION WITH THE PURCHASING DIVISION: Any business intending to transact business in Alabama must register with the Alabama Purchasing Division by completing the Vendor Disclosure Statement. A copy of the Vendor Disclosure Statement can be downloaded from the Purchasing website at www.purchasing.alabama.gov.

SEVERABILITY CLAUSE: A declaration by any court, or any other binding legal source, that any provision of the contract is illegal and void shall not affect the legality and enforceability of any other provision of the contract, unless the provisions are mutually dependent.

SOLICITATION DOCUMENT EXAMINATION: Vendors must promptly notify the Department of any ambiguity, inconsistency, or error, which they may discover upon examination of a solicitation document.

TERMINATION OF CONTRACT: Unless otherwise stated, the Department may, by written notice to the contractor, terminate the contract in whole or in part at any time the contractor fails to perform the contract.

UNAVAILABILITY OF FUNDING: The Department, at its sole discretion, may terminate or reduce the scope of the contract if available funding is reduced for any reason.

U.S. FUNDS: All prices and payments must be in U.S. dollars.

APPENDIX C: TRADE SECRET AFFIDAVIT

Alabama Department of Human Resources

AFFIDAVIT FOR TRADE SECRET CONFIDENTIALITY

DEPARTMENT OF _____)

County of _____)

)ss.

_____ (Affiant), being first duly sworn under oath, and representing _____ (hereafter "Vendor"), hereby deposes and says that:

1. I am an attorney licensed to practice in the State of _____, representing the Vendor referenced in this matter, and have full authority from the Vendor to submit this affidavit and accept the responsibilities stated herein.

2. I am aware that the Vendor is submitting a proposal to the Alabama Department of Human Resources for RFP # _____. Public agencies in Alabama are required by Alabama law to permit the public to examine documents that are kept or maintained by the public agencies, other than those legitimately meeting the provisions of the Alabama Trade Secrets Act, Alabama Code Section 8-27-1, and that the Department is required to review claims of trade secret confidentiality.

3. I have read and am familiar with the provisions of the Alabama Trade Secrets Act, am familiar with the case law interpreting it, and understand that all information received in response to this RFP will be available for public examination except for:

- (a) trade secrets meeting the requirements of the Act; and
- (b) information requested by the Department to establish vendor responsibility unless prior written consent has been given by the vendor.

4. I am aware that in order for the Vendor to claim confidential material, this affidavit must be fully completed and submitted to the Department, and the following conditions must be met by the Vendor:

- (a) information to be withheld under a claim of confidentiality must be clearly marked and separated from the rest of the proposal;
- (b) the proposal may not contain trade secret matter in the cost or price; and
- (c) the Vendor's explanation of the validity of this trade secret claim is attached to this affidavit.

5. I and the Vendor accept that, should the Department determine that the explanation is incomplete, inadequate or invalid, the submitted materials will be treated as any other document in the department's possession, insofar as its examination as a public record is concerned. I and the Vendor are solely responsible for the adequacy and sufficiency of the explanation. Once a proposal is opened, its contents cannot be returned to the Vendor if the Vendor disagrees with the Department's determination of the issue of trade secret confidentiality.

6. I, on behalf of the Vendor, warrant that the Vendor will be solely responsible for all legal costs and fees associated with any defense by the Department of the Vendor's claim for trade secret protection in the event of an open records request from another party which the Vendor chooses to oppose. The Vendor will either totally assume all responsibility for the opposition of the request, and all liability and costs of any such defense, thereby defending, protecting, indemnifying and saving harmless the Department, or the Vendor will immediately withdraw its opposition to the open records request and permit the Department to release the documents for examination. The Department will inform the Vendor in writing of any open records request that is made, and the Vendor will have five working days from receipt of the notice to notify the Department in writing whether the Vendor opposes the request or not. Failure to provide that notice in writing will waive the claim of trade secret confidentiality, and allow the Department to treat the documents as a public record.

Documents that, in the opinion of the Department, do not meet all the requirements of the above will be available for public inspection, including any copyrighted materials.

Affiant's Signature

Signed and sworn to before me on _____ (date) by _____

_____ (Affiant's name).

Name of Notary Public: _____ for the

Department of: _____

My Commission Expires: _____



APPENDIX D: CERTIFICATE OF COMPLIANCE

State of _____)

County of _____)

CERTIFICATE OF COMPLIANCE WITH THE BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT (ACT 2011-535, as amended by Act 2012-491)

DATE: _____

RE Contract/Grant/Incentive (describe by number or subject):

_____ **by and between**
_____ **(Contractor/Grantee) and**
_____ **(State Agency, Department or Public Entity)**

The undersigned hereby certifies to the State of Alabama as follows:

1. The undersigned holds the position of _____ with the Contractor/Grantee named above, and is authorized to provide representations set out in this Certificate as the official and binding act of that entity, and has knowledge of the provisions of THE BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT (ACT 2011-535 of the Alabama Legislature, as amended by Act 2012-491) which is described herein as "the Act".
2. Using the following definitions from Section 3 of the Act, select and initial either (a) or (b), below, to describe the Contractor/Grantee's business structure.

BUSINESS ENTITY. Any person or group of persons employing one or more persons performing or engaging in any activity, enterprise, profession, or occupation for gain, benefit, advantage, or livelihood, whether for profit or not for profit. "Business entity" shall include, but not be limited to the following:

- a. Self-employed individuals, business entities filing articles of incorporation, partnerships, limited partnerships, limited liability companies, foreign corporations, foreign limited partnerships, foreign limited liability companies authorized to transact business in this state, business trusts, and any business entity that registers with the Secretary of State.
- b. Any business entity that possesses a business license, permit, certificate, approval, registration, charter, or similar form of authorization issued by the state, any business entity that is exempt by law from obtaining such a business license, and any business entity that is operating unlawfully without a business license.

EMPLOYER. Any person, firm, corporation, partnership, joint stock association, agent, manager, representative, foreman, or other person having control or custody of any employment, place of employment, or of any employee, including any person or entity employing any person for hire within the State of Alabama, including a public employer. This term shall not include the occupant of a household contracting with another person to perform casual domestic labor within the household.

_____(a)The Contractor/Grantee is a business entity or employer as those terms are defined in Section 3 of the Act.

_____(b)The Contractor/Grantee is not a business entity or employer as those terms are defined in Section 3 of the Act.

3. As of the date of this Certificate, Contractor/Grantee does not knowingly employ an unauthorized alien within the State of Alabama and hereafter it will not knowingly employ, hire for employment, or continue to employ an unauthorized alien within the State of Alabama;
4. Contractor/Grantee is enrolled in E-Verify unless it is not eligible to enroll because of the rules of that program or other factors beyond its control.

Certified this _____ day of _____ 20_____.

Name of Contractor/Grantee/Recipient

By: _____

Its _____

The above Certification was signed in my presence by the person whose name appears above, on

this _____ day of _____ 20_____.

WITNESS: _____

Printed Name of Witness

APPENDIX E: COST PROPOSAL

Contract Number:	DHR USE ONLY			Taxpayer ID#:
Agency:				
Address:				
Project Title:				
Budget Period:	October 01, 2016	to	September 30, 2018	

NUMBER OF SLOTS REQUESTED PER REGION

REGION	NUMBER OF NON-MEDICAID SLOTS PROPOSED	NUMBER OF MEDICAID SLOTS PROPOSED
Central Alabama (Bullock, Butler, Crenshaw, Lowndes, Montgomery, Pike counties) 29 slots per month		
East Alabama (Chambers, Coosa, Elmore, Lee, Macon, Russell, Tallapoosa counties) 48 slots per month		
East Central Alabama (Calhoun, Cherokee, Clay, Cleburne, Randolph, St. Clair, Talladega counties) 49 slots per month		
Jefferson/Shelby (Jefferson and Shelby counties) 103 slots per month		
Northeast Alabama (Blount, Cullman, DeKalb, Etowah, Jackson, Marshall, Morgan counties) 66 slots per month		
Northwest Alabama (Colbert, Franklin, Lauderdale, Lawrence Fayette, Lamar, Marion, Walker, Winston, Madison and Limestone counties) 84 slots per month		
Southeast Alabama (Barbour, Coffee, Covington, Dale, Geneva, Henry, Houston counties) 46 slots per month		
Southwest Alabama (Baldwin, Choctaw, Clarke, Conecuh, Escambia, Mobile, Monroe, Washington Counties) 64 slots per month		
Tuscaloosa Hub (Bibb, Greene, Hale, Pickens, Sumter, Tuscaloosa counties) 18 slots per month		
West Central Alabama (Autauga, Chilton, Dallas, Marengo, Perry, Wilcox counties) 19 slots per month		
Central Alabama (Bullock, Butler, Crenshaw, Lowndes, Montgomery, Pike counties) 29 slots per month		
East Alabama (Chambers, Coosa, Elmore, Lee, Macon, Russell, Tallapoosa counties) 48 slots per month		
East Central Alabama (Calhoun, Cherokee, Clay, Cleburne, Randolph, St. Clair, Talladega counties) 49 slots per month		
Jefferson/Shelby (Jefferson and Shelby counties) 103 slots per month		
Northeast Alabama (Blount, Cullman, DeKalb, Etowah, Jackson, Marshall, Morgan counties) 66 slots per month		
Northwest Alabama (Colbert, Franklin, Lauderdale, Lawrence Fayette, Lamar, Marion, Walker, Winston, Madison and Limestone counties) 84 slots per month		

APPENDIX F: DISCLOSURE STATEMENT



State of Alabama
DISCLOSURE STATEMENT
(Required by Act 2001-955)

ENTITY COMPLETING FORM Agreement Number

ADDRESS

CITY, STATE, ZIP TELEPHONE NUMBER

STATE AGENCY/DEPARTMENT THAT WILL RECEIVE GOODS, SERVICES, OR IS RESPONSIBLE FOR GRANT AWARD

ADDRESS

CITY, STATE, ZIP TELEPHONE NUMBER

This form is provided with:

Contract Proposal Request for Proposal Invitation to Bid Grant Proposal

Have you or any of your partners, divisions, or any related business units previously performed work or provided goods to any State Agency/Department in the current or last fiscal year?

Yes No

If yes, identify below the State Agency/Department that received the goods or services, the type(s) of good or services previously provided, and the amount received for the provision of such goods or services.

Have you or any of your partners, divisions, or any related business units previously applied and received any grants from any State Agency/Department in the current or last fiscal year?

Yes No

If yes, identify the State Agency/Department that awarded the grant, the date such grant was awarded, and the amount of the grant.

1. List below the name(s) and address(es) of all public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

2. List below the name(s) and address(es) of all family members of public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the public officials/public employees and State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

If you identified individuals in items one and/or two above, describe in detail below the direct financial benefit to be gained by the public officials, public employees, and/or their family members as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

Describe in detail below any indirect financial benefits to be gained by any public official, public employee, and/or family members of the public official or public employee as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

List below the name(s) and address(es) of all paid consultants and/or lobbyists utilized to obtain the contract, proposal, request for proposal, invitation to bid, or grant proposal:

By signing below, I certify under oath and penalty of perjury that all statements on or attached to this form are true and correct to the best of my knowledge. I further understand that a civil penalty of ten percent (10%) of the amount of the transaction, not to exceed \$10,000.00, is applied for knowingly providing incorrect or misleading information.

Signature _____ Date _____

Notary's Signature _____ Date _____ Date Notary Expires _____

Act 2001-995 requires the disclosure statement to be completed and filed with all proposals, bids, contracts, or grant proposals to the State of Alabama in excess of \$5,000.